

Serial No. - 10/558,103  
Art Unit - 28711

Amendments to the Claims

The following listing of claims will replace all prior versions, and listings, of claims in the present application:

1. (previously presented) An optical heterostructure comprising:
  - a matrix having an index of refraction;
  - a first bandgap region defined in said matrix; and
  - a second bandgap region defined in said matrix, wherein
    - said first bandgap region is characterized by a periodic arrangement of inclusions in said matrix,
      - said inclusions of said first bandgap region have an index of refraction substantially different than said index of refraction of said matrix,
      - said second bandgap region is characterized by a periodic arrangement of inclusions in said matrix,
      - said inclusions of said second bandgap region have an index of refraction substantially different than said index of refraction of said matrix,
    - said first and second bandgap regions alternate in succession along a primary dimension of optical propagation of said heterostructure device to define a succession including at least one bandgap region of said first type interposed between a pair of bandgap regions of said second type,
    - said first bandgap region defines a first optical bandgap of said optical heterostructure,
    - said second bandgap region defines a second optical bandgap of said optical heterostructure, and
    - a spacing between said band gap regions of said second type created by said interposition of said first band gap region there between is such that said first optical bandgap is centered at a different wavelength than said second optical bandgap and such that a transmission bandwidth is defined between said first and second optical bandgaps.

Serial No. - 10,658,103  
Art Unit - 28711

2. (currently amended) An optical heterostructure comprising:

- a matrix having an index of refraction;
- a first bandgap region defined in said matrix; and
- a second bandgap region defined in said matrix, wherein

said first and second bandgap regions alternate in succession along a primary dimension of optical propagation of said heterostructure device to define a plurality of first bandgap regions and a plurality of second bandgap regions.

~~said first and second bandgap regions further alternate along a dimension orthogonal to said primary dimension of optical propagation of said heterostructure device,~~

said first bandgap region is characterized by a periodic arrangement of first inclusions in said matrix,

said periodic arrangement of said first inclusions in said matrix define a first optical bandgap of said optical heterostructure,

said second bandgap region is characterized by a periodic arrangement of second inclusions in said matrix,

said periodic arrangement of said second inclusions in said matrix define a second optical bandgap of said optical heterostructure,

said first and second inclusions have an index of refraction substantially different than said index of refraction of said matrix,

said first optical bandgap is centered at a different wavelength than said second optical bandgap, and

a transmission bandwidth is defined between said first and second optical bandgaps.

3. (previously presented) An optical heterostructure as claimed in claim 1 wherein said first and second inclusions have substantially identical indices of refraction.

Serial No. - 10/653,105  
Art Unit - 28711

4. (previously presented) An optical heterostructure as claimed in claim 1 wherein said matrix has a relatively high index of refraction and said first and second inclusions have a relatively low index of refraction.

5. (previously presented) An optical heterostructure as claimed in claim 1 wherein said matrix has a relatively low index of refraction and said first and second inclusions have a relatively high index of refraction.

6-7. (canceled)

8. (previously presented) An optical heterostructure as claimed in claim 1 wherein:

said optical heterostructure further comprises at least one additional bandgap region defined in said matrix;

said additional bandgap region is characterized by a periodic arrangement of additional inclusions in said matrix,

said periodic arrangement of said additional inclusions in said matrix define at least one additional optical bandgap of said optical heterostructure,

said additional inclusions have an index of refraction substantially different than said index of refraction of said matrix,

said additional optical bandgap is centered at a different wavelength than said first and second optical bandgaps.

9. (original) An optical heterostructure as claimed in claim 8 wherein said first, second, and additional bandgap regions alternate along a primary dimension of optical propagation of said heterostructure device to define a plurality of first bandgap regions, a plurality of second bandgap regions, and a plurality of additional bandgap regions.

10. (original) An optical heterostructure as claimed in claim 9 wherein said first, second, and additional bandgap regions further alternate along a dimension orthogonal to said primary dimension of optical propagation of said heterostructure device.

Serial No.: 10/358,105

Art. Unit - 23711

11. (previously presented) An optical heterostructure as claimed in claim 1 wherein said wavelength difference in said first and second optical bandgaps is attributable to a difference in respective geometries of said first and second inclusions, respective sizes of said first and second inclusions, respective periodicities of said first and second inclusions, respective compositions of said first and second inclusions, and combinations thereof.

12. (previously presented) An optical heterostructure as claimed in claim 1 wherein each of said first inclusions approximate a geometrical shape that is substantially the same as a geometrical shape approximated by each of said second inclusions.

13. (previously presented) An optical heterostructure as claimed in claim 1 wherein each of said first inclusions approximate a geometrical shape that is substantially different than a geometrical shape approximated by each of said second inclusions.

14. (previously presented) An optical heterostructure as claimed in claim 1 wherein each of said first and second inclusions approximate one or more of a variety of geometrical shapes.

15. (previously presented) An optical heterostructure as claimed in claim 1 wherein a cross-sectional area of a geometrical shape approximated by said first inclusions is substantially different than a cross-sectional area of a geometrical shape approximated by said second inclusions.

16. (previously presented) An optical heterostructure as claimed in claim 1 wherein said geometrical shape approximated by said first inclusions is substantially the same as said geometrical shape approximated by each of said second inclusions.

17. (previously presented) An optical heterostructure as claimed in claim 1 wherein a volume of a geometrical shape approximated by said first inclusions is substantially different than a volume of a geometrical shape approximated by said second inclusions.

Serial No. - 10/658,105  
Art Unit - 28711

18. (original) An optical heterostructure as claimed in claim 17 wherein said geometrical shape approximated by said first inclusions is substantially the same as said geometrical shape approximated by each of said second inclusions.
19. (previously presented) An optical heterostructure as claimed in claim 1 wherein a periodicity approximated by said first inclusions is substantially different than a periodicity approximated by said second inclusions.
20. (original) An optical heterostructure as claimed in claim 19 wherein said geometrical shape approximated by said first inclusions is substantially the same as said geometrical shape approximated by each of said second inclusions.
21. (previously presented) An optical heterostructure as claimed in claim 1 wherein said matrix defines a substantially homogenous composition throughout said optical heterostructure.
22. (previously presented) An optical heterostructure as claimed in claim 1 wherein said matrix has a substantially heterogeneous composition throughout said optical heterostructure.
23. (original) An optical heterostructure as claimed in claim 22 wherein said substantially heterogeneous composition has a spatially variant index of refraction.
24. (original) An optical heterostructure as claimed in claim 22 wherein said substantially heterogeneous composition defines a spatially variant composition.
25. (previously presented) An optical heterostructure as claimed in claim 1 wherein said matrix comprises a material selected from Si, In, Ga, Al, Sb, As, Ge, P, N, O, BaTiO<sub>3</sub>, lithium niobate, GaAs, InP, InGaAsP, a semiconductor, a chalcogenide, a polymer, an organic material, air, and combinations thereof.

Serial No. - 10/658,105  
Art Unit - 28711

26. (previously presented) An optical heterostructure as claimed in claim 1 wherein said matrix comprises a dopant.

27. (original) An optical heterostructure as claimed in claim 26 wherein said dopant comprises an optically active material.

28. (original) An optical heterostructure as claimed in claim 26 wherein said dopant comprises a rare earth element.

29. (original) An optical heterostructure as claimed in claim 26 wherein said dopant comprises erbium.

30. (previously presented) An optical heterostructure as claimed in claim 1 wherein said first and second inclusions comprise a material selected from air, an inert gas, silica, a polymer, an aqueous material, and combinations thereof.

31-32. (canceled)

33. (original) An optical waveguide comprising a core region and a boundary region having substantially different indices of refraction, wherein:

said core region defines a primary dimension of optical propagation and is bounded by said boundary region at least along said primary dimension of optical propagation; and

said core region defines a heterostructure region comprising

a matrix having an index of refraction,

a first bandgap region defined in said matrix, and

a second bandgap region defined in said matrix, wherein

said first bandgap region is characterized by a periodic arrangement of first inclusions in said matrix,

said periodic arrangement of said first inclusions in said matrix define a first optical bandgap of said optical heterostructure,

Serial No. - 10/658,105  
Art Unit - 2871

said second bandgap region is characterized by a periodic arrangement of second inclusions in said matrix,

said first and second inclusions have an index of refraction substantially different than said index of refraction of said matrix,

said periodic arrangement of said second inclusions in said matrix define a second optical bandgap of said optical heterostructure,

said first optical bandgap is centered at a different wavelength than said second optical bandgap, and

a transmission bandwidth is defined between said first and second optical bandgaps.

34. (original) An optical waveguide as claimed in claim 33 wherein said core region has a relatively high index of refraction and said boundary region has a relatively low index of refraction.

35. (original) An optical waveguide as claimed in claim 33 wherein said core region has a relatively low index of refraction and said boundary region has a relatively high index of refraction.

36. (original) An optical waveguide as claimed in claim 33 wherein said matrix comprises an optically functional material and exhibits a substantial change in refractive index in response to a refractive index control parameter.

37. (original) An optical waveguide as claimed in claim 36 wherein said optically functional material comprises a non-linear photonic material, an electrooptic material, a thermo-optic material, a semiconductor, and combinations thereof.

38. (original) An optical waveguide as claimed in claim 36 wherein said control parameter comprises intensity of an optical signal propagating along said primary dimension of optical

Serial No. - 10/658,103  
Art Unit - 28711

propagation, intensity and distribution of an electric field across said matrix, a temperature of said heterostructure, a free carrier concentration in said matrix, and combinations thereof.

39. (original) An optical waveguide as claimed in claim 33 wherein said first and second inclusions comprise an optically functional material and exhibit a substantial change in refractive index in response to a refractive index control parameter.

40. (original) An optical waveguide as claimed in claim 33 wherein said matrix and said first and second inclusions comprise an optically functional material and exhibit a substantial change in refractive index in response to a refractive index control parameter.

41. (original) An optical waveguide as claimed in claim 33 wherein said boundary region comprises air, silicon dioxide, a material characterized by an index of refraction approximating that of air or silicon dioxide, or combinations thereof.

42. (original) An optical waveguide as claimed in claim 33 wherein said boundary region comprises a combination of spatially distinct regions.

43. (original) An optical waveguide as claimed in claim 33 wherein said boundary region comprises a region of air and a spatially distinct region of silicon dioxide.

44-57. (canceled)

58. (new) An optical device comprising components configured to function as one of an optical isolator, circulator, multiplexer, demultiplexer, wavelength locker, modulator, variable attenuator, dispersion compensator, power monitor, laser, amplifier, detector, router, switch, interleaver, and combinations thereof, wherein said optical device employs at least one optical heterostructure comprising:

- a matrix having an index of refraction;
- a first bandgap region defined in said matrix; and

Serial No. - 10/638,105  
Art Unit - 28711

a second bandgap region defined in said matrix, wherein

    said first bandgap region is characterized by a periodic arrangement of inclusions in said matrix,

    said inclusions of said first bandgap region have an index of refraction substantially different than said index of refraction of said matrix,

    said second bandgap region is characterized by a periodic arrangement of inclusions in said matrix,

    said inclusions of said second bandgap region have an index of refraction substantially different than said index of refraction of said matrix,

    said first and second bandgap regions alternate in succession along a primary dimension of optical propagation of said heterostructure device to define a succession including at least one bandgap region of said first type interposed between a pair of bandgap regions of said second type,

    said first bandgap region defines a first optical bandgap of said optical heterostructure,

    said second bandgap region defines a second optical bandgap of said optical heterostructure, and

    a spacing between said band gap regions of said second type created by said interposition of said first band gap region there between is such that said first optical bandgap is centered at a different wavelength than said second optical bandgap and such that a transmission bandwidth is defined between said first and second optical bandgaps.